02/10/2006 09:12 3032910136

HGI

PAGE 02/04

EXPRESS MAIL NO. EV620104955US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Jeffrey T. Ranney

Application No.

10/660,020

Filed

September 11, 2003

For

NANOFILTER SYSTEM AND METHOD OF USE

Examiner

Krishnan S. Menon

Art Unit

1723

Docket No.

21401-96

Date

February 10, 2006

Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

DECLARATION OF C. ANTHONY DAVIS UNDER 37 C.F.R. 1.131

Dear Sir.

I, C. Anthony Davis, declare and state as follows:

- 1. Harris Group Inc. is the assignee of the entire right, title, and interest of the above-referenced patent application. The inventor assignment to Harris Group, Inc. is recorded at Reel 014496, Frame 0917.
- 2. I am general counsel for Harris Group Inc. and am familiar with the record keeping procedures of the company. I am the corporate Secretary and authorized to sign this declaration on behalf of Harris Group, Inc.
- 3. At my direction, the employees of Harris Group Inc. have reviewed documents related to the development of the filtration system described and claimed in

02/10/2006 08:12 3032910136

HGI

PAGE 83/84

the above-referenced patent application. The documents were generated in the ordinary course of business and maintained in accordance with company procedures.

- 4. A copy of an engineering drawing is attached hereto as "Exhibit A." Although dates have been removed from Exhibit A, the document produced as Exhibit A was created prior to February 25, 2003. The flow sheet illustrates a chromatographic unit, a nanofiltration chamber and filter assembly, an evaporative processor, and a feedback line returning concentrated sugar from the nanofiltration unit to the chromatographic unit for additional subsequent separation. For easy identification, these components in Exhibit A have been circled in red. The feedback line is further labeled in Exhibit A while the remaining elements are already labeled in the existing drawing. This demonstrates that the applicant had completed conception of the invention in the United States as described and claimed in the present application by at least as early as February 25, 2003.
- Construction and testing of the unit occurred over the course of the next several months. A patent disclosure document was provided to Mr. Michael J. Donohue, outside patent counsel for Harris Group Inc. in approximately August 2003.
 The present application was filed on September 11, 2003.
- 6. The declaration and assignment were completed by the sole inventor, Mr. Jeffrey T. Ranney. Mr. Ranney is no longer with Harris Group, Inc. and is believed to have moved to Puerto Rico. Mr. Ranney's last known address is:

3212 11th Avenue W.

Seattle, WA 98119

I attempted to contact Mr. Ranney by voice mail and email and have received no response. It is believed that submission of the declaration by the assignee is necessary to preserve the rights of the assignee and to prevent irreparable damage by rejection of the patent application.

02/10/2006 08:12 3032910136

HGI

PAGE 84/84

7. I heraby declare that all statements made of our own knowledge are true and that all statements made on information and belief are believed to be true and, further, that the these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application and any patent issued thereon.

Date

C. Anthony Davis

Secretary/General Counsel

1740718_1.DOC

LAWYERS

Davis Wright Tremaine LLP



ANCHORAGE BELLEVUE HONOLULU LOS ANGELES NEW YORK PORTLAND SAN PRANCISCO SEATTLE SHANGHAI WASHINGTON, D.C.

MICHAEL J. DONOHUE DIRECT: (206) 628-7640 MIKEDONOHUE@DWT.COM

2600 CENTURY SQUARE 1501 FOURTH AVENUE SEATTLE, WA 98101-1688

TEL (206) 622-3150 FAX (206) 628-7699 www.dwt.com

	FACSIMILE T	TRANSMITTAL		
Date: February 10, 20	006		t	
SEND TO: NAME	FIRM/COMPAN	Y/CONFIRMATI	ON NO.	FAX NUMBER
Examiner Menon	USPTO			571-273-1143
FROM:				
Michael J. Donohue	el J. Donohue Telephone: (206) 628-764		Fax: (206) 628-7699	
	NUMBER OF PAGES (including cover	page): <u>4</u>	
Floor Sent From:	Time Sent:	_ AM PM	Operator:	
RETURN TO SENDER:	VIA INTRAOFFICE MAIL	WILL PICK UP 🖸	EXTENSION:	
COMMENTS:		!		

Re:

U.S. Patent Application No. 10/660,020

NANOFILTER SYSTEM AND METHOD OF USE

Art Unit 1723

Our Reference: 21401-96

Attached is a Declaration in the above-referenced matter.

Michael J. Donohue Registration No. 35,859

THE WRITTEN MESSAGE TRANSMITTED HEREBY IS FOR THE EXCLUSIVE USE OF THE ADDRESSEE AND CONTAINS CONFIDENTIAL, PRIVILEGED AND NONDISCLOSABLE INFORMATION. IF THE RECIPIENT OF THIS MESSAGE IS NOT THE ADDRESSEE, OR A PERSON RESPONSIBLE FOR DELIVERING THE MESSAGE TO THE ADDRESSEE, SUCH RECIPIENT IS PROHIBITED FROM READING OR USING THIS MESSAGE IN ANY WAY. IF YOU HAVE RECEIVED THIS MESSAGE BY MISTAKE, PLEASE NOTIFY THE SENDER IMMEDIATELY AND DESTROY THE FACSIMILE MESSAGE.